#### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

Not yet assigned

Filing Date:

March 11, 2004 (unofficial)

Applicant:

Naohiro MATSUSHITA

Title:

WIRELESS COMMUNICATION SYSTEM

Attorney Docket:

8475-000017/CO

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

## I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A.\_\_\_ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

### U.S. Serial Number

U.S. Filing Date

C. X Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed

listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith. D.\_\_\_\_ This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g)) CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) A. Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required). B. X A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)): 1.\_\_\_\_See the attached foreign patent office communication from a counterpart foreign application. 2. X English translations are provided: as noted on HDP Form 1449 3. Other: C. \_\_\_ The following additional information is provided for the Examiner's consideration. CROSS REFERENCE TO RELATED APPLICATION(S) The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122. Serial No. Filing Date **Art Unit** THIS IDS IS BEING FILED UNDER

## ٧.

A. X 37 C.F.R. § 1.97(b): (check only one box)

1. X within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

111.

IV.

set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)) No fee or certification is required.
3 before the mailing of a first Office Action on the merits (37 C.F.R § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. 1.97(e) below; or, if no certification has been made, charge our depose account a fee in the amount of \$180.00 as required by 37 C.F.R. 1.17(p).
4 before the mailing of a first Office Action after the filing of request for continued examination under 37 C.F.R. § 1.114. No fee of certification is required.
B <b>37 C.F.R. § 1.97(c)</b> : (check <u>only</u> one box)
before the mailing date of either any Final Office Action under 37 C.F.R § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action the otherwise closes prosecution.
1No certification; therefore, a fee in the amount of \$180.00 i required by 37 C.F.R. § 1.17(p).
2See the certification below. No fee is required.
C37 C.F.R. § 1.97(d):
after the mailing date of either a Final Office Action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or befor payment of the issue fee.
1See the certification below. A fee in the amount of \$180.00 i required by 37 C.F.R. § 1.17(p).
CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
The undersigned hereby certifies that:
A each item of information contained in this IDS was first cited in communication from a foreign patent office in a counterpart foreign applicatio not more than three months prior to the filing of this IDS (See 37 C.F.R. 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VI if applicable; or
B no item of information contained in this IDS was cited in communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no

VI.

item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. \_\_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

## VII. STATEMENT UNDER 37 CFR 1.704(d)

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The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

## VIII. PAYMENT OF FEES (check only one box)

A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: March 11, 2004

G. Gregory Schivley, Reg. No. 27382 Bryant E. Wade, Reg. No. 40,344

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

GGS/smc

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#### FORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET NO.	SERIAL NO.
8375-000017/CO	
APPLICANT	
Matsushita, Naohiro	
FILING DATE	GROUP

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country ·	Class/ Subclass	Translation Yes No
1.		10-70554 A	03/10/1998	JP		Abstract
2.		2001-28576 A	01/30/2001	JP		Abstract
3.		5-48502 A	02/26/1993	JP		Abstract
4.		6-188821 A	07/08/1994	JP		Abstract
5.		9-217463 A	08/19/1997	JP		Abstract

OTHE	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials		
1.		International Search Report - PCT/JP03/07938; ISA/JPO; Mailed: 10/14/2003	

Examiner:	Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INTERNATIONAL SEARCH REPORT

International application No. PCT/JP03/07938

A. CLASSIFICATION OF SUBJECT MATTER Int.Cl <sup>7</sup> H04Q7/30, H04B5/00, H04J11/00					
According to	International Patent Classification (IPC) or to both nat	tional classification and IPC			
	SEARCHED				
Int.	ocumentation searched (classification system followed b Cl <sup>7</sup> H04Q7/00-7/38, H04B5/00, 7	/24-7/26, H04J11/00			
Jitsu Kokai	on searched other than minimum documentation to the tyo Shinan Koho 1922–1996  Jitsuyo Shinan Koho 1971–2003	Toroku Jitsuyo Shinan Koho Jitsuyo Shinan Toroku Koho	1994–2003 1996–2003		
Electronic d	ata base consulted during the international search (name	e of data base and, where practicable, sear	ch terms used)		
C. DOCU	MENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.		
Y A	Corp.), 10 March, 1998 (10.03.98), Abstract; Par. No. [0026]	Business Machines 5970062 A	1-3,6,7,8 4,5		
Y	JP 2001-28576 A (Sumitomo El- Ltd.), 30 January, 2001 (30.01.01), Claim 3 & WO 01/06690 A	ectric Industries,	1-3,6,7,8		
Y A	·				
× Furth	er documents are listed in the continuation of Box C.	See patent family annex.			
"A" docum- conside "E" earlier date "L" docum- cited to special "O" docum- means "P" docum- than th	"A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed  Date of the actual completion of the international search  "E" document defining the general state of the art which is not understand the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family  Date of the actual completion of the international search  Date of mailing of the international search report				
22 September, 2003 (22.09.03) 14 October, 2003 (14.10.03)					
Name and m Japa	ailing address of the ISA/ nese Patent Office	Authorized officer	•		
Facsimile N	· o.	Telephone No.			

## INTERNATIONAL SEARCH REPORT

International application No. PCT/JP03/07938

y JP 6-198821 A (Sekisui Chemical Co., Ltd.), 08 July, 1994 (08.07.94), Abstract (Family: none)  y JP 9-217463 A (Japan Atomic Energy Research Institute), 19 August, 1997 (19.08.97), Par. No. [0005] (Family: none)	Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
Institute), 19 August, 1997 (19.08.97), Par. No. [0005]	Y	08 July, 1994 (08.07.94), Abstract		
	Y	Institute), 19 August, 1997 (19.08.97), Par. No. [0005]		
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